

Total KYC Report

In reply to your enquiry on:		TAM Sun XXXX	
Service Mode:		Express	
Order Date:		23-12-20XX	
Our Ref.:	Sample	Your Ref.:	N/A
Confirmation of report sent on:		07-01-20XX	

**ALL CURRENCY QUOTED IN LOCAL CURRENCY UNLESS OTHERWISE STATED*

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Name	Daniel Tam S.
Address	Guildford Road, The Peak, Hong Kong.
	FINDINGS
Name	TAM Sun XXXX, Daniel also known as TAM Daniel Sun XXXX or Daniel Sun XXXX TAM (hereafter called "Subject")
Name in Chinese	譚新X
Nationality	N/A
Hong Kong Identity Card No.	PXXX535-X
Passport No.	N/A
Driving Licence	N/A
Residential address	Flat XXX, Block X, Villa XXXX, XX Guildford Road, The Peak, Hong Kong.
Residential Telephone	12XXXXXX
Mobile	903XXXXX
Ownership of property	Subject, jointly with LAM Lai XXX, Susan (joint tenants), owns Flat XXX, Block X, Villa XXXX, XX Guildford Road, Hong Kong, which purchased for \$15,000,000 on 12-08-20XX and pledged to The Bank of China (Hong Kong) Limited for legal charge/mortgage on 31-10-20XX.
Subject's wife	LAM Lai XXXX, Susan also known as LAM Susan Lai XXXX

Total Credit Management Services Hong Kong Limited 迪陶信用管理有限公司

A member of

Total Credit & Risk Management Group 迪陶信用及風險管理集團

Profile	<p>Subject is Director, President and Portfolio Manager (Asia) of XXXX Asia, Limited. Subject focuses on global distressed securities and Asian growth opportunities.</p> <p>Previously, he was head of sales and marketing of Asian equity derivatives at XXXXX, where he was credited as a pioneer in Asia equity derivatives.</p> <p>Subject started his career with XXXXX. He received his MPPM in Finance from the Yale School of Management in 19XX. He also holds MASc and BAsC in Electrical Engineering from the University of Toronto.</p> <p>Subject is currently in India for business and is scheduled to be back on 10th January 20XX.</p>
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BUSINESS CONTACT DETAILS

Company	XXXX Asia, Limited (formerly known as XXXXX Limited)
Company structure	<p>Incorporated on 20-06-20XX under CI#XXX606 with an authorized capital of \$5,850,000 divided into 5,850,000 share @\$1. As of 30-09-20XX, the paid up shares were 5,850,000 with XXXX Management, holding 5,849,999 and TAM Sun XXXX, Daniel holding 1.</p> <p>As of 20-06-20XX, the directors are LI XXXX (USA PP#XXXX3017), KWAN Kok XXX (PXXXX35-A) and TAM Sun XXXX, Daniel (PXXXX25-X)</p>
Operating office/address	32XX XXXXX House, XX-XX Chater Road, Central, Hong Kong (also as registered office)
Telephone	XXXX8669 XXXX5262
Fax	XXXX8679
Email	inquiry@28X0XX82.com
Website	www.28X0XX82.com
New York Office (headquarters of Fore Group):	<p>Fore Advisors XX</p> <p>XXX Park Avenue 43rd Floor New York, NY 100XX Telephone: 212-984-XXXX Fax: 212-984-XXXX</p>
London Office:	XXXX International, (Regulated by the Financial

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	Services Authority) 6th Floor, XXXX's Court XX XXXX Street, St. James's London, SW1Y-XXX Telephone: 020 XXXX-6100
Profile	XXXX Asia, Limited is a company of XXXX Group, which mainly runs as a fund manager and investment advisor. Its main managed funds are XXXX Convertible Funds, XXXX Cr. Funds and XXXX Op. Funds. XXXX Group is headquartered in New York, USA.

PUBLIC REGISTRATIONS

Registrar: Securities and Futures Commission, Hong Kong (SFC)

Registered Name: TAM Sun XXXX, Daniel 譚新X

License Details: as follows

Name: TAM Sun XXXX, Daniel 譚新X (ABYXXX)

Date of License: 18/08/2004

Remarks:

Principal	License Role	Regulated Activity	Effective Date of Regulated Activity	Date of Accreditation to the Principal	Remarks
XXXX Asia, Limited (AJJXXX)	Responsible Officer	Advising on Securities	18/08/20XX	18/08/20XX	
XXXX Asia, Limited (AJXXXX)	Responsible Officer	Asset Management	18/08/20XX	18/08/20XX	

Principal Principal's Primary Business Address

XXXX Asia, Limited (AJJ4XX)	XXXX XXXXXX House, XX-XX Chater Road, Central, Hong Kong.
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Effective Date Licensing Conditions

18/08/20XX	For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person.
18/08/20XX	For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do

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so under the advice of another responsible officer who is:
(a) accredited to the principal concerned of the licensee in respect of the activity; and
(b) not subject to this condition.

Disciplinary Remarks:

Date of Action	Action Taken	Press Releases
None		

Regulatory Body Contacts

The Securities and Futures Commission of Hong Kong
8th Floor
Chater House
8 Connaught Road Central
Hong Kong
Telephone: 2840-9222, 2842-7666
Fax: 2521-7836

CORPORATE RECORDS (including directorship search):-

-As per search of Total Credit's database and at Companies Registry (CR), Subject is listed as director of the following companies in Hong Kong:-

Companies Registry No.	Name	Active Status	Company Status
1. XXX606	XXXX Asia, Limited	-	Private
XXX212	XXXXX Investment Holdings Limited ~ XX控股有限公司	-	Private

PUBLIC RECORD (ie: Suits, Liens Judgments, Bankruptcy, Media And Sanctions Etc.):-

1). Court Record: -None filed as defendant in our Court Record (last 7 years)

2). Media and Sanctions: None found

COLLECTION RECORD: None filed as debtor in our Debt Collection Record (last 5 years).

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END OF REPORT